

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.

JUL 13 2004

48th Floor | 333 South Hope Street | Los Angeles, CA 90071-1448
213-620-1780 office | 213-620-1398 fax | www.sheppardmullin.comFACSIMILE COVER SHEET

OFFICIAL

**** THIS FACSIMILE TRANSMISSION WILL NOT BE MAILED ****

Date: July 13, 2004

File Number: 01SH-109196

Total number of pages:
(including 1-page cover sheet)

6

If all pages are not received, please call
Sheppard Mullin at 213-620-1780, ext. 2155TO:

U.S. Patent and Trademark Office

Facsimile No.

(703) 872-9306

Telephone No.

From: Brian D. Martin

Direct Dial: 213-617-5415

Re: Transmittal of Information Disclosure Statement

Jedro 6:07 p.m.

MESSAGE: Please see attached.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted
to the United States Patent and Trademark Office on July 13, 2004
Brian D. Martin, EsquireTransmittal of Information Disclosure StatementInformation Disclosure CitationApplicant: Mike Jadon et al.Title: DISK-ARRAY CONTROLLER WITH
HOST-CONTROLLED NVRAMSerial No: 10/824,851Filed: April 14, 2004Examiner: Not yet assignedGroup Art Unit: 2186Our Docket No.: 01SH-109196Date Faxed: 07/13/04Client: Micro MemoryDate Due: 07/14/04Atty/Sec.: Martin/Kemp

NOTE: THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 01SH-109196	
In Re Application Of: Mike Jadon et al.						
Application No. 10/824,851	Filing Date April 14, 2004	Examiner Not yet assigned	Customer No. 30764	Group Art Unit 2186	Confirmation No. 6875	
Title: DISK-ARRAY CONTROLLER WITH HOST-CONTROLLED NVRAM						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
37 CFR 1.97(b)						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: <div style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div> <div style="text-align: center; margin: 10px 0;">OR</div> <div style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 01SH-109196	
In Re Application: Mike Jadon et al.					
Application No. 10/824,851	Filing Date April 14, 2004	Examiner Not yet assigned	Customer No. 30764	Group Art Unit 2186	Confirmation No. 6875
DISK-ARRAY CONTROLLER WITH HOST-CONTROLLED NVRAM					
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))					
<input type="checkbox"/> A check in the amount of _____ is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 19-1853 as described below.					
<input type="checkbox"/> Charge the amount of _____					
<input type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional fee required.					
Certificate of Transmission by Facsimile*			Certificate of Mailing by First Class Mail		
<div style="border: 1px solid black; padding: 5px; min-height: 100px;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____)</div>			<div style="border: 1px solid black; padding: 5px; min-height: 100px;">I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</div>		
<div style="border: 1px solid black; padding: 5px; min-height: 40px;">(Date)</div>			<div style="border: 1px solid black; padding: 5px; min-height: 40px;"></div>		
<div style="border: 1px solid black; padding: 5px; min-height: 40px;">Signature</div>			<div style="border: 1px solid black; padding: 5px; min-height: 40px;">Signature of Person Mailing Correspondence</div>		
<div style="border: 1px solid black; padding: 5px; min-height: 40px;">Typed or Printed Name of Person Signing Certificate</div>			<div style="border: 1px solid black; padding: 5px; min-height: 40px;">Typed or Printed Name of Person Mailing Certificate</div>		
*This certificate may only be used if paying by deposit account.					
<div style="border: 1px solid black; padding: 5px; min-height: 40px;">Signature</div>			Dated: July 13, 2004		
Brian D. Martin, Esquire Registration No. 47,771 Sheppard, Mullin, Richter & Hampton LLP 333 South Hope Street, 48th Floor Los Angeles, California 90071 (213) 620-1780					
cc:					

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 01SH-109196	APPLICATION NO. 10/824,851
	APPLICANT(S) Mike Jadon et al.	
	FILING DATE April 14, 2004	GROUP ART UNIT 2186

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,937,169	08/10/99	Connery et al.	395	200.8	
	6,085,273	07/04/00	Ball et al.	710	129	
	6,094,699	07/25/00	Surugucchi et al.	710	128	
	6,181,630	01/30/01	Caulkins	365	228	
	6,219,693	04/17/01	Napolitano et al.	709	203	

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0067652 A1	06/06/02	Caulkins	365	228	
	US 2002/0191471 A1	12/19/02	Caulkins	365	226	
	US 2003/0088735 A1	05/08/03	Buxser et al.	711	114	
	US 2003/0172216 A1	09/11/03	Gundacker	710	300	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 01SH-109196	APPLICATION NO. 10/824,851
	Mike Jadon et al.	
	FILING April 14, 2004	GROUP ART 2186

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,249,831	06/19/01	Allingham	710	126	
	6,298,408	10/02/01	Park	710	129	
	6,363,444	03/26/02	Platko et al.	710	110	
	6,385,685	05/07/02	Dell et al.	710	305	
	6,473,355	10/29/02	Caulkins	365	228	

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

01SH-109196

APPLICATION NO.

10/824,851

Mike Jadon et al.

FILING

April 14, 2004

GROUP ART

2186

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,567,859	05/20/03	Yang et al.	709	250	
	6,643,209	11/04/03	Caulkins	365	228	

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.